

## SAMPLE COMPLIANCE CULTURE SURVEY

The health care industry is highly regulated. At [Organization's Name] we are continually assessing our compliance program and policies to ensure we meet our legal and regulatory requirements. Our employees are critical to this effort. Please help us assess how we are doing by taking this short survey. Your honest and candid feedback will help us identify how we are doing and where we can improve. The information you provide is confidential. No names or individually identifying information is being requested or required.

Department: \_\_\_\_\_ [If department size or organization is small, consider removing]

1. Does the organization prioritize compliance throughout all levels and departments within the organization?
  - a. Yes
  - b. No
  - c. Don't know

If "No", please explain: \_\_\_\_\_

2. Do you understand your role in ensuring the organization complies with its legal requirements?
  - a. Yes
  - b. No
  - c. Don't Know

If "No", please explain: \_\_\_\_\_

3. Do you know how to report a compliance concern to the organization and remain anonymous?
  - a. Yes
  - b. No
  - c. Don't Know

*Continued →*

4. Are you confident that if you reported a compliance concern to the organization, it would be investigated and any founded non-compliance appropriately addressed?
- a. Yes
  - b. No
  - c. Don't Know

If "No", please explain: \_\_\_\_\_

5. Does the organization's compliance program have an impact on how you do your job?
- a. Yes
  - b. No
  - c. Don't Know

If "No", please explain: \_\_\_\_\_

Do you have a compliance concern or additional information you wish to share? If so, please explain:

***This material is intended for educational and informational purposes only. This document is not intended to be legal advice. Legal advice must tailored to the specific circumstances and users are responsible for obtaining such advice from their own counsel. Nothing provided on this stie should be used as a substitute for the advice of legal counsel.***